
CAPITAL PROGRAMME 2016/17 – BLOCK ALLOCATIONS

Report by Chief Financial Officer

EXECUTIVE COMMITTEE

8 March 2016

1 PURPOSE AND SUMMARY

- 1.1 This report is to seek Executive approval for the proposed individual projects and programmes within the various block allocations in the 2016/17 Capital Financial Plan.**
- 1.2 Appendices A – Z contain proposals for various projects to be allocated resources from the block allocations within the 2016/17 Capital Financial Plan.
- 1.3 Not all projects have been fully identified at this point and as and when this information is available this will be brought to the Executive for consideration.

2 RECOMMENDATIONS

- 2.1 It is recommended the Executive Committee approves the block allocation breakdowns contained in Appendices A – Z.**

3 BACKGROUND

- 3.1 Section 7 of the current Financial Regulations deal with Budgetary Control and from paragraph 7.16 onwards details the framework for Capital. The Regulations stipulate detailed expenditure plans must be presented to the Executive Committee for approval. This provides the details for blocks in financial years 2016/17 - 2018/19 for approval.
- 3.2 The Council approved the 2016/17 to 2025/26 Capital Financial Plan on 11 February 2016 and this programme contained a number of block allocations.
- 3.3 The Capital Financial Plan did not reflect slippage in the programme approved later than 18 August 2015. This report incorporates slippage agreed since the August meeting.
- 3.4 Following the approval of the Capital Financial Plan, project managers have been working to develop the individual projects and streams within these block allocations.

4 CAPITAL BLOCK ALLOCATIONS 2016/17 – 2018/19

- 4.1 It is proposed that the following budget headings within the 2016/17 Capital Financial Plan, as approved on 11 February 2016, are treated as block allocations within the context of the Financial Regulations as they apply to Capital Budgetary Control:

	2016/17	2017/18	2018/19	Total Operational Plan
	£'000s*	£'000s	£'000s	£'000s
PLACE				
Road & Transport Infrastructure				
General Roads and Bridges	3,529	3,560	3,710	10,799
General Lighting	200	200	200	600
Accident Investigation Prevention Schemes	50	50	50	150
Cycling, Walking & Safer Streets	125	157	182	464
Engineering Minor Works	79			79
	3,983	3,967	4,142	12,092
Flood & Coastal Protection				
General Flood Protection	300	200	200	700
	300	200	200	700
Waste Management				
Community Recycling Centres - Improve Skip Infrastructure	0	0	146	146
Community Recycling Centres - Enhancements	33	0	0	33
Waste Transfer Stations Health & Safety Works	54	0	0	54
Combined Depot Enhancements	199	124	0	323
CCTV Community Recycling Centres	2	0	0	2
	288	124	146	558

	2016/17	2017/18	2018/19	Total Operatio nal Plan £'000s
	£'000s*	£'000s	£'000s	£'000s
Land & Property Infrastructure				
Play Facilities	18	52	53	123
Cemetery Land Acquisition & Development	836	0	0	836
Drainage - Parks & Open Spaces	50	50	50	150
Structural / Health & Safety Works	415	435	435	1,285
Asbestos Management	50	50	50	150
Building Systems Efficiency Upgrades	100	200	200	500
Electrical Infrastructure Upgrades	150	150	150	450
Fixed Assets	20	20	20	60
Building Thermal Efficiency Upgrades	400	430	430	1,260
Demolition & Site Preparation	218	170	0	388
Office Accommodation Transformation	194	200	200	594
Contaminated Land	89	62	38	189
Cleaning Equipment Replacement	50	50	50	150
	2,590	1,869	1,676	6,135
Total PLACE	7,161	6,160	6,164	19,485
PEOPLE				
School Estate				
School Health & Safety	733	200	200	1,133
School Refurbishment & Capacity Projects	646	1140	3,030	4,816
School Kitchen Improvement	42	55	200	297
Early Learning & Childcare	1,947	0	0	1,947
Equality Act School Adaptations (DDA)	150	150	150	450
	3,518	1,545	3,580	8,643
Social Care Infrastructure				
Residential Care Home Upgrade	991	0	0	991
	991	0	0	991
Total PEOPLE	4,509	1,545	3,580	9,634
CHIEF EXECUTIVE				
Sports Infrastructure				
Sports Trust Plant & Services – Integrated Sport & Culture Trust Property and Other Sports Trusts	637	290	290	1,217
	637	290	290	1,217
Chief Executive Other				
ICT Programme	3,597	3,071	2,239	8,907
	3,597	3,071	2,239	8,907
Total CHIEF EXECUTIVE	4,234	3,361	2,529	10,124
GRAND TOTAL	15,904	11,066	12,273	39,243

**Approved budget includes any slippage from 2015/16 approved after 18 August 2015.*

- 4.2 This report contains proposals for the following block allocations in the following appendices:

Looking after the Borders

PLACE

Appendix A	General Roads and Bridges Block
Appendix B	General Lighting Block
Appendix C	Accident Investigation Prevention Schemes
Appendix D	Cycling, Walking & Safer Streets
Appendix E	General Flood Protection Block
Appendix F	Community Recycling Centres - Improve Skip Infrastructure
Appendix G	Community Recycling Centres – Enhancements
Appendix H	Waste Transfer Stations Health & Safety Works
Appendix I	Combined Depot Enhancements
Appendix J	Drainage - Parks & Open Spaces
Appendix K	Structural/Health & Safety Works
Appendix L	Building Systems Efficiency Upgrades
Appendix M	Electrical Infrastructure Upgrades
Appendix N	Building Thermal Efficiency Upgrades
Appendix O	Demolition & Site Preparation
Appendix P	Office Accommodation Transformation
Appendix Q	Contaminated Land
Appendix R	Cleaning Equipment Replacement

PEOPLE

Appendix S	School Health & Safety
Appendix T	School Refurbishment & Capacity Projects
Appendix U	School Kitchen Improvement
Appendix V	Early Learning and Childcare
Appendix W	Equality Act School Adaptations (DDA)
Appendix X	Residential Care Home Upgrade

CHIEF EXECUTIVE

Appendix Y	Sports Trust Plant & Services – Integrated Sport & Culture Trust Property and Other Sports Trusts
Appendix Z	ICT Programme

- 4.3 Work is still ongoing in relation to the other block allocations listed below and as these are defined they will be bought forward for approval:
- (a) Play Facilities
 - (b) Cemetery Land Acquisition and Development
 - (c) Asbestos Management
 - (d) Fixed Assets
- 4.4 Projects in Engineering Minor Works for Hislop Gardens, Hawick and Cranfield, Ancrum were approved at Executive meetings held on 19 January 2016 and 16 February 2016.

5 IMPLICATIONS

5.1 Financial

- (a) The blocks in Appendices A –Z are reported as the amounts relating to the 2016/17 budgets and contain any slippage resulting from the 2015/16 programme monitoring reported up to the Executive Committee on 16 February 2016.
- (b) It is proposed that in order to comply with the Financial Regulations, the individual lines contained in the Appendix for each block will be interpreted as the detailed plans for the block and therefore each line in the block is an individual project or “budget heading” for the purpose of Budgetary Control. The only exception to this is where there is still an “Unallocated Balance”. This means that, if required, virements between these “budget headings” will be subject to the appropriate approval process.
- (c) These block allocations may be affected by the 2015/16 capital monitoring out-turn which will be reported to Executive Committee in June 2016 and any changes as a result of this will be reflected in future monitoring reports.

5.2 Risk and Mitigations

There is a risk that as a project progresses through the various phases from inception to construction and commissioning, adjustments will be required to the phasing of the projects delivery or cost estimate, or that the project may not be able to be progressed as anticipated. These risks will be managed through regular capital project monitoring meetings with budget holders and project managers and timely reporting to elected members for decision-making at an appropriate Committee.

5.3 Equalities

It is anticipated that there are no adverse impact due to race, disability, gender, age, sexual orientation or religion/belief arising from the proposals in this report.

5.4 Acting Sustainably

There are no direct economic, social or environmental issues with this report although there may be within individual projects and these will be identified and addressed as appropriate as part of their specific governance arrangements.

5.5 Carbon Management

There are no direct carbon emissions impacts as a result of this monitoring report; however, there may be within individual projects and these will be identified and addressed as appropriate as part of their specific governance arrangements.

5.6 Rural Proofing

This report does not relate to new or amended policy or strategy and as a result rural proofing is not an applicable consideration.

5.7 Changes to Scheme of Administration or Scheme of Delegation

No changes to the Scheme of Administration or Delegation are required as a result of this report.

6 CONSULTATION

- 6.1 The Monitoring Officer, the Chief Legal Officer, the Chief Officer Audit and Risk, the Chief Officer HR and the Clerk to the Council have been consulted in the preparation of this report and any comments received on the report have been incorporated into the report.
- 6.2 The Depute Chief Executive People, the Depute Chief Executive Place, the Corporate Transformation Services Director, the Service Director C&Y People, the Service Director Neighbourhood Services, the Service Director Commercial Services and the Service Director Roads have been consulted in the preparation of this report and the content of the appendices and any comments incorporated.

Approved by

David Robertson
Chief Financial Officer

Signature

Author(s)

Name	Designation and Contact Number
Kirsty Robb	Capital and Investment Manager, 01835 825249
Doreen Pringle	Senior Finance Officer - Capital, 01835 824000 Ext 5961

Background Papers: None.

Previous Minute Reference: None

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Contact us at:

Capital & Investment Team, Council HQ, treasuryteam@scotborders.gov.uk, 01835 825249.